

A K3 surface associated to certain integral matrices  
with integral eigenvalues

Ronald van Luijk  
Department of Mathematics 3840  
970 Evans Hall  
University of California  
Berkeley, CA 94720-3840  
rmluijk@math.berkeley.edu

**Abstract:**

In this article we will show that there are infinitely many symmetric, integral  $3 \times 3$  matrices, with zeros on the diagonal, whose eigenvalues are all integral. We will do this by proving that the rational points on a certain non-Kummer, singular K3 surface are dense. We will also compute the entire Néron-Severi group of this surface and find all low degree curves on it.

**Keywords:**

symmetric matrices, eigenvalues, elliptic surfaces, K3 surfaces, Néron-Severi group, rational curves, Diophantine equations, arithmetic geometry, algebraic geometry, number theory

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## 1. Introduction

In the problem section of *Nieuw Archief voor Wiskunde* [NAW], F. Beukers posed the question whether symmetric, integral  $3 \times 3$  matrices

$$M_{a,b,c} = \begin{pmatrix} 0 & a & b \\ a & 0 & c \\ b & c & 0 \end{pmatrix} \quad (1)$$

exist with integral eigenvalues and satisfying  $q(a, b, c) \neq 0$ , where  $q(a, b, c)$  is the polynomial  $q(a, b, c) = abc(a^2 - b^2)(b^2 - c^2)(c^2 - a^2)$ . As it is easy to find such matrices satisfying  $q(a, b, c) = 0$ , we will call those trivial. R. Vidunas and the author of this article independently proved that the answer to this question is positive, see [BLV]. There are in fact infinitely many nontrivial examples of such matrices. This follows immediately from the fact that for every integer  $t$ , if we set

$$\begin{aligned} a &= -(4t - 7)(t + 2)(t^2 - 6t + 4), \\ b &= (5t - 6)(5t^2 - 10t - 4), \\ c &= (3t^2 - 4t + 4)(t^2 - 4t + 6), \\ x &= 2(3t^2 - 4t + 4)(4t - 7), \\ y &= (t^2 - 6t + 4)(5t^2 - 10t - 4), \\ z &= -(t + 2)(5t - 6)(t^2 - 4t + 6), \end{aligned} \quad (2)$$

then the matrix  $M_{a,b,c}$  has eigenvalues  $x, y$ , and  $z$ . This matrix is trivial if and only if we have  $t \in \{-2, -1, 0, 1, 2, 4, 10\}$ . For  $t = 3$  we get  $a = 125$ ,  $b = 99$ , and  $c = 57$  with eigenvalues 190,  $-55$ , and  $-135$ . By a computer search, we find that this is the second smallest example, when ordered by  $\max(|a|, |b|, |c|)$ . The smallest has  $a = 26$ ,  $b = 51$ , and  $c = 114$ . In this article we will show how to find such parametrizations. We will see that there are infinitely many and that the one in (2) has the lowest possible degree.

If the eigenvalues of the matrix  $M_{a,b,c}$  are denoted by  $x, y$ , and  $z$ , then its characteristic polynomial can be factorized as

$$\lambda^3 - (a^2 + b^2 + c^2)\lambda - 2abc = (\lambda - x)(\lambda - y)(\lambda - z).$$

Comparing coefficients, we get three homogeneous equations in  $x, y, z, a, b$ , and  $c$ . Hence, geometrically we are looking for rational points on the 2-dimensional

complete intersection  $X \subset \mathbb{P}_{\mathbb{Q}}^5$ , given by

$$\begin{aligned} x + y + z &= 0, \\ xy + yz + zx &= -a^2 - b^2 - c^2, \\ xyz &= 2abc. \end{aligned} \tag{3}$$

The points on the curves on  $X$  defined by  $q(a, b, c) = 0$  correspond to the trivial matrices. Parametrizations as in (2) correspond to curves on  $X$  that are isomorphic over  $\mathbb{Q}$  to  $\mathbb{P}^1$ . We will see that  $X$  contains infinitely many of them, thereby proving the main theorem of this paper, which states the following.

**Theorem 1.1** *The rational points on  $X$  are Zariski dense.*

In the next section we will recall the definition and some properties of lattices and elliptic surfaces in the sense of Shioda [Sh]. In section 3 we will prove Theorem 1.1 using an elliptic fibration of a blow-up  $Y$  of  $X$ . We will see that  $Y$  is a so called elliptic K3 surface. The interaction between the geometry and the arithmetic of K3 surfaces is of much interest. F. Bogomolov and Y. Tschinkel have proved that on every elliptic K3 surface  $Z$  over a number field  $K$  the rational points are potentially dense, i.e., there is a finite field extension  $L/K$ , such that the  $L$ -points of  $Z$  are dense in  $Z$ , see [BT], Thm. 1.1. Key in their analysis of potential density of rational points is the so called Picard number of a surface, an important geometric invariant. F. Bogomolov and Y. Tschinkel have shown that if the Picard number of a K3 surface is large enough, then the rational points are potentially dense. On the other hand, it is not yet known if there exist K3 surfaces with Picard number 1 on which the rational points are not potentially dense.

After proving the main theorem, we will investigate more deeply the geometry of  $Y$  and show in Section 4 that its Picard number equals 20, which is maximal among K3 surfaces in characteristic 0. It is a fact that a K3 surface with maximal Picard number is either a Kummer surface or a double cover of a Kummer surface. These Kummer surfaces are K3 surfaces with a special geometric structure, described in section 5. As a consequence, their arithmetic can be described more easily. It is therefore natural to ask if  $Y$  is a Kummer surface, in which case  $Y$  would have had a richer structure that we could have utilized. In Section 5 we will show that this is not the case.

In Section 6 we will describe more of the geometry of  $X$  by showing that  $X$  contains exactly 63 curves of degree smaller than 4. All points on these curves correspond to matrices that are either trivial or not defined over  $\mathbb{Q}$ . As the degree of a parametrization as in (2) corresponds to the degree of the curve that it parametrizes, this shows that the one in (2) has the lowest possible degree among parametrizations of nontrivial matrices.

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## 2. Lattices and elliptic surfaces

We will start with the definition of a lattice. Note that for any abelian groups  $A$  and  $G$ , a symmetric bilinear map  $A \times A \rightarrow G$  is called *nondegenerate* if the induced homomorphism  $A \rightarrow \text{Hom}(A, G)$  is injective. Note that we do not require a lattice to be definite, only nondegenerate.

**Definition 2.1** A lattice is a free  $\mathbb{Z}$ -module  $L$  of finite rank, endowed with a symmetric, bilinear, nondegenerate map  $\langle \_, \_ \rangle: L \times L \rightarrow \mathbb{Q}$ , called the pairing of the lattice. An integral lattice is a lattice whose pairing is  $\mathbb{Z}$ -valued. A lattice  $L$  is called even if  $\langle x, x \rangle \in 2\mathbb{Z}$  for every  $x \in L$ . A sublattice of  $L$  is a submodule  $L'$  of  $L$ , such that the induced bilinear pairing on  $L'$  is nondegenerate. A sublattice  $L'$  of  $L$  is called primitive if  $L/L'$  is torsion-free. The positive or negative definiteness or signature of a lattice is defined to be that of the vector space  $L_{\mathbb{Q}}$ , together with the induced pairing.

**Definition 2.2** For a lattice  $L$  with pairing  $\langle \_, \_ \rangle$ , we denote by  $L(n)$  the lattice with the same underlying module as  $L$  and the pairing  $n \cdot \langle \_, \_ \rangle$ .

**Definition 2.3** The Gram matrix of a lattice  $L$  with respect to a given basis  $x = (x_1, \dots, x_n)$  is  $I_x = (\langle x_i, x_j \rangle)_{i,j}$ . The discriminant of  $L$  is defined by  $\text{disc } L = \det I_x$  for any basis  $x$  of  $L$ . A lattice  $L$  is called unimodular if it is integral and  $\text{disc } L = \pm 1$ .

**Lemma 2.4** Let  $L'$  be a sublattice of finite index in a lattice  $L$ . Then we have  $\text{disc } L' = [L : L']^2 \text{disc } L$ .

**Proof.** This is a well known fact, see also [Sh], section 6. □

The definition of elliptic surface and the results in this section can all be found in [Sh]. For a more detailed summary of these results and constructions of elliptic surfaces, see also [Lu], sections 3 and 4. Throughout this paper we will say that a variety  $V$  over a field  $k$  is smooth if the map  $V \rightarrow \text{Spec } k$  is smooth.

**Definition 2.5** Let  $C$  be a smooth, irreducible, projective curve over an algebraically closed field  $k$ . An elliptic surface over  $C$  is a smooth, irreducible, projective surface  $S$ , together with a non-smooth, relatively minimal, surjective morphism  $f: S \rightarrow C$ , of which almost all fibers are nonsingular curves of genus 1, and a section  $\mathcal{O}$  of  $f$ .

**Remark 2.6** By Castelnuovo's criterion (see [Ch], Thm. 3.1), the morphism  $f$  is relatively minimal if and only if no fiber contains an exceptional divisor, i.e., a prime divisor  $E$  with  $E^2 = -1$  and  $H^1(E, \mathcal{O}_E) = 0$ . By [Ha], Prop. III.9.7, any dominating morphism from an integral variety to a regular curve is flat. Therefore, so is  $f$  in the definition above. Also,  $f$  is locally of finite presentation. Hence, by [EGA IV(2)], Déf. 6.8.1, the requirement of  $f$  not being smooth in the definition above is equivalent to the requirement that  $f$  has a singular fiber.

For the rest of this section, let  $S$  be an elliptic surface over a smooth, irreducible, projective curve  $C$  over an algebraically closed field  $k$ , fibered by  $f: S \rightarrow C$  with a section  $\mathcal{O}$ . Let  $K = k(C)$  denote the function field of  $C$  and let  $\eta: \text{Spec } K \rightarrow C$  be its generic point. Then the generic fiber  $E = S \times_C \text{Spec } K$  of  $f$  is a smooth, projective, geometrically integral curve over  $K$  with genus 1. Let  $\xi$  denote the natural map  $E \rightarrow S$ .

$$\begin{array}{ccc} E & \xrightarrow{\xi} & S \\ \downarrow & & \downarrow f \\ \text{Spec } K & \xrightarrow{\eta} & C \end{array}$$

**Lemma 2.7** *Both maps  $\xi_*$  and  $\eta^*$  in*

$$E(K) = \text{Hom}_K(\text{Spec } K, E) \xrightarrow{\xi_*} \text{Hom}_C(\text{Spec } K, S) \xleftarrow{\eta^*} \text{Hom}_C(C, S) = S(C)$$

*are bijective.*

**Proof.** By the universal property of fibered products, we find that every morphism  $\sigma: \text{Spec } K \rightarrow S$  with  $f \circ \sigma = \eta$  comes from a unique section of the morphism  $E \rightarrow \text{Spec } K$ . Hence, the map  $\xi_*$  is bijective. As  $C$  is a smooth curve and  $S$  is projective, any morphism from a dense open subset of  $C$  to  $S$  extends uniquely to a morphism from  $C$ , see [Ha], Prop. I.6.8. As  $\text{Spec } K$  is dense in  $C$ , the map  $\eta^*$  is bijective as well.  $\square$

Whenever we implicitly identify the two sets  $E(K)$  and  $S(C)$ , it will be done using the bijection  $\xi_*^{-1} \circ \eta^*$  of Lemma 2.7. The section  $\mathcal{O}$  of  $f$  corresponds to a point on  $E$ , giving  $E$  the structure of an elliptic curve. This endows  $E(K)$  with a group structure, which carries over to  $S(C)$ , see [Si1], Prop. III.3.4.

Recall that for any proper scheme  $Y$  over an algebraically closed field, the Néron-Severi group  $\text{NS}(Y)$  of  $Y$  is the quotient of  $\text{Pic } Y$  by the group  $\text{Pic}^0 Y$  consisting of all divisor classes algebraically equivalent to 0, see [Ha], exc. V.1.7, and [SGA 6], Exp. XIII, p. 644, 4.4. If  $Y$  is proper, then  $\text{NS}(Y)$  is a finitely generated, abelian group, see [Ha], exc. V.1.7-8, for surfaces, or [SGA 6], Exp. XIII, Thm. 5.1 in general. Its rank  $\rho = \dim \text{NS}(Y) \otimes \mathbb{Q}$  is called the Picard number of  $Y$ . Note that for the rest of this section  $S$  is still an elliptic surface.

**Proposition 2.8** *On  $S$  algebraic equivalence coincides with numerical equivalence. The group  $\text{NS}(S)$  is free. The intersection pairing induces a symmetric nondegenerate bilinear pairing on  $\text{NS}(S)$ , making it into a lattice of signature  $(1, \rho - 1)$ . If  $S$  is a K3 surface, then  $\text{NS}(S)$  is an even lattice.*

**Proof.** The first statement is proved by Shioda in [Sh], Thm. 3.1. It follows immediately that the bilinear intersection pairing is nondegenerate on  $\text{NS}(S)$ , see [Sh], Thm. 2.1 or [Ha], example V.1.9.1. The signature is given by the Hodge Index Theorem ([Ha], Thm. V.1.9). If  $S$  is a K3 surface, then its canonical

sheaf is trivial and the adjunction formula ([Ha], Prop. V.1.5) reduces to  $D^2 = 2g(D) - 2$  for any irreducible curve  $D$  on  $S$  with genus  $g(D)$ . As the irreducible divisors generate  $\text{NS}(S)$ , the lattice  $\text{NS}(S)$  is even.  $\square$

**Lemma 2.9** *The induced map  $f^*: \text{Pic}^0 C \rightarrow \text{Pic}^0 S$  is an isomorphism.*

**Proof.** See [Sh], Thm. 4.1.  $\square$

For every point  $P \in E(K)$ , let  $(P)$  denote the prime divisor on  $S$  that is the image of the section  $C \rightarrow S$  corresponding to  $P$  by Lemma 2.7. Let  $T \subset \text{NS}(S)$  be generated by the classes of the divisor  $(\mathcal{O})$  and the irreducible components of the singular fibers of  $f$ . For every  $v \in C$ , let  $m_v$  denote the number of irreducible components of the fiber of  $f$  at  $v$ . Finally, let  $r$  denote the rank of the Mordell-Weil group  $E(K)$ .

**Lemma 2.10** *The module  $T$  is a sublattice of  $\text{NS}(S)$  of rank  $\text{rk} T = 2 + \sum_v (m_v - 1)$  and signature  $(1, \text{rk} T - 1)$ .*

**Proof.** See [Sh], Prop. 2.3.  $\square$

**Proposition 2.11** *There is a natural homomorphism  $\varphi: \text{NS}(S) \rightarrow E(K)$  with kernel  $T$ . It is surjective and maps  $(P)$  to  $P$ . We have  $\rho = \text{rk} \text{NS}(S) = r + 2 + \sum_v (m_v - 1)$ .*

**Proof.** The map  $\varphi$  is defined in section 5 of [Sh]. For surjectivity, see [Sh], Lemma 5.1 and 5.2. The fact that  $T$  is the kernel is [Sh], Thm. 1.3. The last equality follows from Lemma 2.10 and the fact that the alternating sum of the ranks of finitely generated, abelian groups in an exact sequence equals 0.  $\square$

**Corollary 2.12** *There is a unique section  $\psi$  of the homomorphism  $\text{NS}(S) \otimes \mathbb{Q} \rightarrow E(K) \otimes \mathbb{Q}$  induced by  $\varphi$  that maps  $E(K) \otimes \mathbb{Q}$  onto the orthogonal complement of  $T \otimes \mathbb{Q}$  in  $\text{NS}(S) \otimes \mathbb{Q}$ . The homomorphism  $\psi$  induces a symmetric bilinear pairing on  $E(K)$ . The opposite of this pairing induces the structure of a positive definite lattice on  $E(K)/E(K)_{\text{tors}}$ .*

**Proof.** See [Sh], Thm. 8.4.  $\square$

**Remark 2.13** Shioda gives an explicit formula for the pairing on  $E(K)$ , based on how the sections intersect the singular fibers and each other, see [Sh], Thm. 8.6.

### 3. Proof of the main theorem

Let  $G \subset \text{Aut } X$  be the group of automorphisms of  $X$  generated by permutations of  $x, y$  and  $z$ , by permutations of  $a, b$ , and  $c$  and by switching the sign of two

of the coordinates  $a$ ,  $b$ , and  $c$ . Then  $G$  is isomorphic to  $(V_4 \rtimes S_3) \times S_3$  and has order 144. The surface  $X$  has 12 singular points, on which  $G$  acts transitively. They are all ordinary double points and their orbit under  $G$  is represented by  $[x : y : z : a : b : c] = [2 : -1 : -1 : 1 : 1 : 1]$ . Let  $\pi : Y \rightarrow X$  be the blow-up of  $X$  in these 12 points.

Note that a K3 surface is a smooth, projective, geometrically irreducible surface  $S$ , of which the canonical sheaf is trivial and the irregularity  $q = q(S) = \dim H^1(S, \mathcal{O}_S)$  equals 0.

**Proposition 3.1** *The surface  $Y$  is a smooth K3 surface. The exceptional curves above the 12 singular points of  $X$  are all isomorphic to  $\mathbb{P}^1$  and have self-intersection number  $-2$ .*

**Proof.** Ordinary double points are resolved after one blow-up, so  $Y$  is smooth. The exceptional curves  $E_i$  are isomorphic to  $\mathbb{P}^1$ , see [Ha], exc. I.5.7. Their self-intersection number follows from [Ha], example V.2.11.4. Since  $X$  is a complete intersection, it is geometrically connected and  $H^1(X, \mathcal{O}_X) = 0$ , so  $q(X) = 0$ , see [Ha], exc. II.5.5. From its connectedness it follows that  $Y$  is geometrically connected as well. As  $Y$  is also smooth, it follows that  $Y$  is geometrically irreducible.

To compute the canonical sheaf on  $Y$ , note that on the nonsingular part  $U = X^{\text{reg}}$  of  $X$  the canonical sheaf is given by  $\mathcal{O}_X(-5 - 1 + 3 + 2 + 1)|_U = \mathcal{O}_U$ , see [Ha], Prop. II.8.20 and exc. II.8.4. Hence, the canonical sheaf on  $Y$  restricts to the structure sheaf outside the exceptional curves. That implies that there are integers  $a_i$  such that  $K = \sum_i a_i E_i$  is a canonical divisor. Recall that  $E_i^2 = -2$  and  $E_i \cdot E_j = 0$  for  $i \neq j$ . Applying the adjunction formula  $2g_C - 2 = C \cdot (C + K)$  (see [Ha], Prop. V.1.5) to  $C = E_i$ , we find that  $a_i = 0$  for all  $i$ , whence  $K = 0$ .

It remains to show that  $q(Y) = q(X)$ . It follows immediately from [Ar], Prop. 1, that ordinary double points are rational singularities, i.e., we have  $R^1 \pi_* \mathcal{O}_Y = 0$ . Also, as  $X$  is integral, the sheaf  $\pi_* \mathcal{O}_Y$  is a sub- $\mathcal{O}_X$ -algebra of the constant  $\mathcal{O}_X$ -algebra  $K(X)$ , where  $K(X) = K(Y)$  is the function field of both  $X$  and  $Y$ . Since  $\pi$  is proper,  $\pi_* \mathcal{O}_Y$  is finitely generated as  $\mathcal{O}_X$ -module. As  $X$  is normal, i.e.,  $\mathcal{O}_X$  is integrally closed, we get  $\pi_* \mathcal{O}_Y \cong \mathcal{O}_X$ . Hence, the desired equality  $q(Y) = q(X)$  follows from the following lemma, applied to  $f = \pi$  and  $\mathcal{F} = \mathcal{O}_Y$ .  $\square$

**Lemma 3.2** *Let  $f : W \rightarrow Z$  be a continuous map of topological spaces. Let  $\mathcal{F}$  be a sheaf of groups on  $W$  and assume that  $R^i f_*(\mathcal{F}) = 0$  for all  $i = 1, \dots, t$ . Then for all  $i = 0, 1, \dots, t$ , there are isomorphisms*

$$H^i(W, \mathcal{F}) \cong H^i(Z, f_* \mathcal{F}).$$

**Proof.** This follows from the Leray spectral sequence. For a more elementary proof, choose an injective resolution

$$0 \rightarrow \mathcal{F} \rightarrow I_0 \rightarrow I_1 \rightarrow I_2 \rightarrow \dots$$

of  $\mathcal{F}$ . Because  $R^i f_*(\mathcal{F}) = 0$  for  $i = 1, \dots, t$ , we conclude that the sequence

$$0 \rightarrow f_*\mathcal{F} \rightarrow f_*I_0 \rightarrow f_*I_1 \rightarrow f_*I_2 \rightarrow \dots \rightarrow f_*I_{t+1} \quad (4)$$

is exact as well. As injective sheaves are flasque (see [Ha], Lemma III.2.4) and  $f_*$  maps flasque  $W$ -sheaves to flasque  $Z$ -sheaves, the exact sequence (4) can be extended to a flasque resolution of  $f_*\mathcal{F}$ . By [Ha], Rem. III.2.5.1, we can use that flasque resolution to compute the cohomology groups  $H^i(Z, f_*\mathcal{F})$ . Taking global sections we get the complex

$$0 \rightarrow \Gamma(Z, f_*I_0) \rightarrow \Gamma(Z, f_*I_1) \rightarrow \Gamma(Z, f_*I_2) \rightarrow \dots \rightarrow \Gamma(Z, f_*I_{t+1}) \rightarrow \dots \quad (5)$$

As  $\Gamma(Z, f_*I_n) \cong \Gamma(W, I_n)$  for all  $n$ , we find that for  $i = 0, 1, \dots, t$ , the  $i$ -th cohomology of (5) is isomorphic to both  $H^i(Z, f_*\mathcal{F})$  and  $H^i(W, \mathcal{F})$ .  $\square$

We will now give  $\bar{Y}$  the structure of an elliptic surface over  $\mathbb{P}^1$ . Let  $f: Y \rightarrow \mathbb{P}^1$  be the composition of  $\pi$  with the morphism  $f': X \rightarrow \mathbb{P}^1, [x : y : z : a : b : c] \mapsto [x : a] = [2bc : yz]$ . One easily checks that  $f'$ , and hence  $f$ , is well-defined everywhere.

If  $a = 0$ , then clearly  $M_{a,b,c}$  in (1) has eigenvalue 0. Geometrically, this reflects the fact that the hyperplane  $a = 0$  intersects  $X$  in three conics, one in each of the hyperplanes given by  $xyz = 0$ . Hence, each of the hyperplanes  $H_t$  given by  $x = ta$  in the family of hyperplanes through the space  $x = a = 0$  contains the conic given by  $a = x = 0$  on  $X$ . The fibers of  $f$  consist of the inverse image under  $\pi$  of the other components in the intersection of  $X$  with the family of hyperplanes  $H_t$ . The fiber above  $[t : 1]$  is therefore given by the intersection of the two quadrics

$$xy + yz + zx = -a^2 - b^2 - c^2 \quad \text{and} \quad tyz = 2bc \quad (6)$$

within the intersection of two hyperplanes

$$x + y + z = x - ta = 0, \quad (7)$$

which is isomorphic to  $\mathbb{P}^3$ . The conic  $C$  given by  $a + b = c - y = 0$  on  $X$  maps under  $f'$  isomorphically to  $\mathbb{P}^1$ . The strict transform of  $C$  on  $Y$  gives a section of  $f$  that we will denote by  $\mathcal{O}$ .

**Proposition 3.3** *The morphism  $f$  and its section  $\mathcal{O}$  give  $Y_{\mathbb{C}}$  the structure of an elliptic surface over  $\mathbb{P}_{\mathbb{C}}^1$ .*

**Proof.** Since  $Y$  is a K3 surface, it is minimal. Indeed, by the adjunction formula any smooth curve  $C$  of genus 0 on  $Y$  would have self-intersection  $C^2 = -2$ , while an exceptional curve that can be blown down has self-intersection  $-1$ , see [Ha], Prop. V.3.1. Hence,  $f$  is a relatively minimal fibration by Remark 2.6. The 12 exceptional curves give extra components in the fibers above  $t = \pm 1, \pm 2$ , so  $f$  is not smooth. From the description (6) above, an easy computation shows that the fibers above  $t \neq 0, \pm 1, \pm 2, \infty$  are nonsingular. They are isomorphic to the

complete intersection of two quadrics in  $\mathbb{P}^3$ , so by [Ha], exc. II.8.4g, almost all fibers have genus 1.  $\square$

Let  $K \cong \mathbb{Q}(t)$  denote the function field of  $\mathbb{P}_{\mathbb{Q}}^1$  and let  $E/K$  be the generic fiber of  $f$ . It can be given by the same equations (6) and (7). To put  $E$  in Weierstrass form, set  $\lambda = (t^2 - 4)\nu + 3t$  and  $\mu = t(t^2 - 4)(z - y)(t\nu^2 - 2\nu + t)/x$ , where  $\nu = (x - c)/(a + b)$ . Then the change of variables

$$\begin{aligned} u &= (\mu + (\lambda^2 + t(t^2 - 1)(t + 8)))/2, \\ v &= (\mu\lambda + \lambda^3 + (t^2 - 1)(t^2 - 8)\lambda - 8t(t^2 - 1)^2)/2 \end{aligned}$$

shows that  $E/K$  is isomorphic to the elliptic curve over  $K$  given by

$$v^2 = u(u - 8t(t^2 - 1))(u - (t^2 - 1)(t + 2)^2).$$

It has discriminant  $\Delta = 2^{10}t^2(t^2 - 1)^6(t^2 - 4)^4$  and  $j$ -invariant

$$j = \frac{4(t^4 + 56t^2 + 16)^3}{t^2(t^2 - 4)^4}.$$

**Lemma 3.4** *The singular fibers of  $f$  are at  $t = 0, \pm 1, \pm 2$  and at  $t = \infty$ . They are described in the following table, where  $m_t$  (resp.  $m_t^{(1)}$ ) is the number of irreducible components (resp. irreducible components of multiplicity 1).*

$t$	type	$m_t$	$m_t^{(1)}$
$0, \infty$	$I_2$	2	2
$\pm 1$	$I_0^*$	5	4
$\pm 2$	$I_4$	4	4

**Proof.** This is a straightforward computation. Since we have a Weierstrass form, it also follows easily from Tate's algorithm, see [Ta] and [Si2], IV.9.  $\square$

Applying the automorphisms  $(b, c) \mapsto (-c, -b)$  and  $(b, c) \mapsto (-b, -c)$  and  $(b, c, y, z) \mapsto (c, b, z, y)$  to the curve  $\mathcal{O}$ , we get three more sections, which we will denote by  $P$ ,  $T_1$  and  $T_2$  respectively. By Lemma 2.7, these sections correspond with points on the generic fiber  $E/K$ . The Weierstrass coordinates  $(u, v)$  of these points are given by

$$\begin{aligned} T_1 &= ((t^2 - 1)(t + 2)^2, 0), \\ T_2 &= (0, 0), \\ P &= (2t^3(t + 1), 2t^2(t + 1)^2(t - 2)^2), \end{aligned} \tag{8}$$

We immediately notice that the  $T_i$  are 2-torsion points.

**Proposition 3.5** *The section  $P$  has infinite order in the group  $S(C) \cong E(K)$ .*

**Proof.** Note that  $S(C)$  and  $E(K)$  are isomorphic by the identification of Lemma 2.7. By Corollary 2.12 there is a bilinear pairing on  $E(K)$  that induces a non-degenerate pairing on  $E(K)/E(K)_{\text{tors}}$ . As mentioned in Remark 2.13, Shioda gives an explicit formula for this pairing, see [Sh], Thm. 8.6. We find that  $\langle P, P \rangle = \frac{3}{2} \neq 0$ , so  $P$  is not torsion.  $\square$

The main theorem now follows immediately.

**Proof of Theorem 1.1.** By Proposition 3.5 the multiples of  $P$  give infinitely many rational curves on  $Y$ , so the rational points on  $Y$  are dense. As  $\pi$  is dominant, the rational points on  $X$  are dense as well.  $\square$

The multiples of  $P$  yield infinitely many parametrizations of integral, symmetric  $3 \times 3$  matrices with zeros on the diagonal and integral eigenvalues. The section  $2P$ , for example, is a curve of degree 8 on  $X$  which can be parametrized by

$$\begin{aligned} a &= t(t^6 - 8t^4 + 20t^2 - 12), \\ b &= -t(t^6 - 4t^4 + 4), \\ c &= (t^2 - 2)(t^6 - 6t^4 + 8t^2 - 4), \end{aligned}$$

and suitable polynomials for  $x$ ,  $y$ , and  $z$ . The parametrization (2) does not come from a section of  $f$ . We will see in Section 6 where it does come from.

#### 4. The Mordell-Weil group and the Néron-Severi group

As mentioned in the introduction, the geometry and the arithmetic of K3 surfaces are closely related. In the following sections we will further analyze the geometry of  $Y$ . Set  $L = \mathbb{C}(t) \supset \mathbb{Q}(t) = K$ . In this section we will find explicit generators for the Mordell-Weil group  $E(L)$  and for the Néron-Severi group of  $\bar{Y} = Y_{\mathbb{C}}$ . This will be used in Sections 5 and 6.

For any complex surface  $Z$ , the Néron-Severi group of  $Z$  can be embedded in  $H^{1,1}(Z) = H^1(Z, \Omega_Z^1)$ , see [BPV], p. 120. If  $Z$  is a complex K3 surface, we have  $\dim H^{1,1}(Z) = 20$ , see [BPV], Prop. VIII.3.3. Hence we find that the Picard number  $\rho(Z) = \text{rk NS}(Z)$  is at most 20. If  $\rho(Z)$  is equal to 20 we say that  $Z$  is a singular K3 surface.

**Proposition 4.1** *The Picard group  $\text{Pic } \bar{Y}$  is isomorphic to  $\text{NS}(\bar{Y})$  and it is a finitely generated, free abelian group.*

**Proof.** As  $\bar{Y}$  has the structure of an elliptic surface over  $\mathbb{P}^1$  and  $\text{Pic}^0 \mathbb{P}^1 = 0$ , the isomorphism follows from Lemma 2.9. The last statement follows from Proposition 2.8.  $\square$

Two of the irreducible components of the singular fibers of  $f: Y \rightarrow \mathbb{P}^1$  above  $t = \pm 2$  are defined over  $\mathbb{Q}(\sqrt{3})$ . They are all in the same orbit under  $G$ . In that

same orbit we also find a section, given by  $z = 2b$  and  $2(c - a) = \sqrt{3}(y - x)$ . We will denote it by  $Q$ . Its Weierstrass coordinates are given by

$$Q = (2t(t + 1)(t + 2), 2\sqrt{3}t(t^2 - 4)(t + 1)^2).$$

It follows immediately that the Galois conjugate of  $Q$  under the automorphism that sends  $\sqrt{3}$  to  $-\sqrt{3}$  is equal to  $-Q$ .

**Proposition 4.2** *The surface  $\bar{Y}$  is a singular K3 surface. The Mordell-Weil group  $E(L)$  is isomorphic to  $\mathbb{Z}^2 \times (\mathbb{Z}/2\mathbb{Z})^2$  and generated by  $P, Q, T_1$  and  $T_2$ . The Mordell-Weil group  $E(K)$  is isomorphic to  $\mathbb{Z} \times (\mathbb{Z}/2\mathbb{Z})^2$  and generated by  $P, T_1$  and  $T_2$ .*

**Proof.** From Shioda's explicit formula for the pairing on  $E(K)$  (see Remark 2.13), we find that  $\langle P, P \rangle = \frac{3}{2}$  and  $\langle Q, Q \rangle = \frac{1}{2}$  and  $\langle P, Q \rangle = 0$ . Hence,  $P$  and  $Q$  are linearly independent and the Mordell-Weil rank  $r = \text{rk } E(L)$  is at least 2.

By Lemma 3.4 and 2.10, the lattice generated by the vertical fibers and  $\mathcal{O}$  has rank 18. From Proposition 2.11 it follows that the rank  $\rho$  of  $\text{NS}(\bar{Y}) = \text{Pic}(\bar{Y})$  is at least  $18 + 2 = 20$ . As  $\bar{Y}$  is a K3 surface (see Proposition 3.1) and 20 is the maximal Picard number for K3 surfaces in characteristic 0, we conclude that  $\bar{Y}$  is a singular K3 surface. Using Proposition 2.11 again, we find that the Mordell-Weil rank of  $E(L)$  equals 2. Since  $E$  has additive reduction at  $t = \pm 1$ , the order of the torsion group  $E(L)_{\text{tors}}$  is at most 4, see [Si2], Remark IV.9.2.2. Hence we have  $E(L)_{\text{tors}} = \langle T_1, T_2 \rangle$ .

From Shioda's explicit formula for the height pairing it follows that with singular fibers only of type  $I_2, I_4$  and  $I_0^*$ , the pairing takes values in  $\frac{1}{4}\mathbb{Z}$ . Hence, the lattice  $\Lambda = (E(L)/E(L)_{\text{tors}})(4)$  is integral, see Definition 2.2. In  $\Lambda$  we have  $\langle P, P \rangle = 6$  and  $\langle Q, Q \rangle = 2$  and  $\langle P, Q \rangle = 0$ . Hence, by Lemma 2.4 the sublattice  $\Lambda'$  of  $\Lambda$  generated by  $P$  and  $Q$  has discriminant  $\text{disc } \Lambda' = 12 = n^2 \text{disc } \Lambda$ , with  $n = [\Lambda : \Lambda']$ . Therefore,  $n$  divides 2. Suppose  $n = 2$ . Then there is an  $R \in \Lambda \setminus \Lambda'$  with  $2R = aP + bQ$ . By adding multiples of  $P$  and  $Q$  to  $R$ , we may assume  $a, b \in \{0, 1\}$ . In  $\Lambda$  we get  $4\langle 2R, 2R \rangle = 6a^2 + 2b^2$ . Hence, we find  $a = b = 1$ , so  $2R = P + Q + T$  for some torsion element  $T \in E(L)[2]$ . Since all the 2-torsion of  $E(L)$  is rational over  $L$ , it is easy to check whether an element of  $E(L)$  is in  $2E(L)$ . If  $e$  is the Weierstrass  $u$ -coordinate of one of the 2-torsion points, then there is a homomorphism

$$E(L)/2E(L) \rightarrow L^*/L^{*2},$$

given by  $S \mapsto u(S) - e$ , where  $u(S)$  denotes the Weierstrass  $u$ -coordinate of the point  $S$ , see [Si1], § X.1. We can use  $e = 0$  and find that for none of the four torsion points  $T \in E(L)[2]$  the value  $u(P + Q + T)$  is a square in  $L$ . Hence, we get  $n = 1$  and  $E(L)$  is generated by  $P, Q, T_1$ , and  $T_2$ .

Suppose  $aP + bQ + \varepsilon_1 T_1 + \varepsilon_2 T_2$  is contained in  $E(\mathbb{Q}(t))$  for some integers  $a, b, \varepsilon_i$ . Then also  $bQ \in E(\mathbb{Q}(t))$ . As the Galois automorphism  $\sqrt{3} \mapsto -\sqrt{3}$  sends  $Q$  to  $-Q$ , we find that  $bQ = -bQ$ . But  $Q$  has infinite order, so  $b = 0$ . Thus, we have  $E(\mathbb{Q}(t)) = \langle P, T_1, T_2 \rangle$ .  $\square$

To work with explicit generators of the Néron-Severi group of  $\bar{Y}$ , we will name some of the irreducible divisors that we encountered so far as in the table below. The exceptional curves are given by the point on  $\bar{X} = X_{\mathbb{C}}$  that they lie above. Other components of singular fibers are given by their equations on  $\bar{X}$ . Sections are given by their equations and the name they already have.

$D_1$	$x = -2a, b + c = \frac{\sqrt{3}}{2}(y - z)$	$D_{11}$	$[-1 : -1 : 2 : -1 : -1 : 1]$
$D_2$	$[2 : -1 : -1 : -1 : 1 : -1]$	$D_{12}$	$(T_1): a - b = c + y = 0$
$D_3$	$(\mathcal{O}): a + b = c - y = 0$	$D_{13}$	$[2 : -1 : -1 : 1 : 1 : 1]$
$D_4$	$[-1 : -1 : 2 : 1 : -1 : -1]$	$D_{14}$	$x = 2a, 2(b - c) = \sqrt{3}(y - z)$
$D_5$	$a = -x, b = c$	$D_{15}$	$(Q): z = 2b, c - a = \frac{\sqrt{3}}{2}(y - x)$
$D_6$	$[-1 : 2 : -1 : 1 : -1 : -1]$	$D_{16}$	$x = 2a, 2(b - c) = \sqrt{3}(z - y)$
$D_7$	$(T_2): a + c = b - z = 0$	$D_{17}$	$x = b = 0$
$D_8$	$[-1 : 2 : -1 : 1 : 1 : 1]$	$D_{18}$	$a = y = 0$
$D_9$	$[-1 : 2 : -1 : -1 : 1 : -1]$	$D_{19}$	$(P): a - c = b + y = 0$
$D_{10}$	$a = x, b = -c$	$D_{20}$	$F$ (whole fiber)

**Proposition 4.3** *The sequence  $\{D_1, D_2, \dots, D_{20}\}$  forms an ordered basis for the Néron-Severi lattice  $\text{NS}(\bar{Y})$ . With respect to this basis the Gram matrix of inner products is given by*

$$\begin{pmatrix} -2 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & -2 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 1 & -2 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & -2 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & -2 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & -2 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & -2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & -2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -2 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & -2 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & -2 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & -2 & 1 & 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & -2 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & -2 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & -2 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & -2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & -2 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & -2 & 1 \\ 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 \end{pmatrix}.$$

**Proof.** By Proposition 2.11 the Néron-Severi group  $\text{NS}(\bar{Y})$  is generated by  $(\mathcal{O})$ , all irreducible components of the singular fibers, and any set of generators of the Mordell-Weil group  $E(L)$ . Thus, from Lemma 3.4 and Proposition 4.2 we can find a set of generators for  $\text{NS}(\bar{Y})$ . Using a computer algebra package or

even by hand, one checks that  $\{D_1, \dots, D_{20}\}$  generates the same lattice. A big part of the Gram matrix is easy to compute, as we know how all fibral divisors intersect each other. Also, every section intersects each fiber in exactly one irreducible component, with multiplicity 1. The sections are rational curves, so by the adjunction formula they have self-intersection  $-2$ . That leaves  $\binom{5}{2}$  more unknown intersection numbers among the sections. By applying appropriate automorphisms from  $G \subset \text{Aut } X$ , we find that they are equal to intersection numbers that are already known by the above.  $\square$

**Remark 4.4** By Proposition 4.3 the hyperplane section  $H$  is numerically equivalent with a linear combination of the  $D_i$ . This linear combination is uniquely determined by the intersection numbers  $H \cdot D_i$  for  $i = 1, \dots, 20$  and turns out to be some uninformative linear combination with many nonzero coefficients. The reason for choosing the  $D_i$  and their order in this manner is that  $D_1, \dots, D_8$  and  $D_9, \dots, D_{16}$  generate two orthogonal sublattices, both isomorphic to  $E_8(-1)$ . In fact, we have the following proposition, which will be used in Section 5.

**Proposition 4.5** *The Néron-Severi lattice  $\text{NS}(\overline{Y})$  has discriminant  $-48$ . It is isomorphic to the orthogonal direct sum*

$$E_8(-1) \oplus E_8(-1) \oplus \mathbb{Z}(-2) \oplus \mathbb{Z}(-24) \oplus U,$$

where  $U$  is the unimodular lattice with Gram matrix

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

**Proof.** The discriminant of  $\text{NS}(\overline{Y})$  is the determinant of the Gram matrix, which equals  $-48$ . With respect to the basis  $D_1, \dots, D_{20}$ , let  $C_1, \dots, C_4$  be defined by

$$\begin{aligned} C_1 &= (0, 0, 0, -1, -2, -2, -2, -1, 1, 2, 3, 4, 4, 2, 0, 2, 1, -2, 0, 0) \\ C_2 &= (6, 12, 26, 29, 32, 19, 6, 16, 9, 18, 27, 36, 34, 23, 12, 17, 7, -3, -8, 4) \\ C_3 &= (1, 2, 4, 4, 4, 2, 0, 2, 2, 4, 6, 8, 8, 5, 2, 4, 2, -1, -1, 0) \\ C_4 &= (1, 2, 4, 5, 6, 4, 2, 3, 1, 2, 3, 4, 4, 3, 2, 2, 0, 0, -1, 1) \end{aligned}$$

and let  $L_1, \dots, L_5$  be the lattices generated by  $(D_1, \dots, D_8)$ ,  $(D_9, \dots, D_{16})$ ,  $(C_1)$ ,  $(C_2)$ , and  $(C_3, C_4)$  respectively. Then one easily checks that  $L_1, \dots, L_5$  are isomorphic to  $E_8(-1)$ ,  $E_8(-1)$ ,  $\mathbb{Z}(-2)$ ,  $\mathbb{Z}(-24)$ , and  $U$  respectively. They are orthogonal to each other, and the orthogonal direct sum  $L = L_1 \oplus \dots \oplus L_5$  has discriminant  $-48$  and rank 20. By Lemma 2.4 we find that the index  $[\text{NS}(\overline{Y}) : L]$  equals 1, so  $\text{NS}(\overline{Y}) = L$ .  $\square$

## 5. The surface $\overline{Y}$ is not Kummer

If  $A$  is an abelian surface, then the involution  $\iota = [-1]$  has 16 fixed points. The quotient  $A/\langle\iota\rangle$  therefore has 16 ordinary double points. A minimal resolution of such a quotient is called a Kummer surface. All Kummer surfaces are K3 surfaces. Because of their rich geometric structure, their arithmetic can be analyzed and described more easily. Every complex singular surface is either a Kummer surface or a double cover of a Kummer surface, see [SI], Thm. 4 and its proof. It is therefore natural to ask whether our complex singular K3 surface  $\bar{Y}$  has the rich structure of a Kummer surface. In Corollary 5.9 we will see that this is not the case.

Shioda and Inose have classified complex singular K3 surfaces by showing that the set of their isomorphism classes is in bijection with the set of equivalence classes of positive definite even integral binary quadratic forms modulo the action of  $\mathrm{SL}_2(\mathbb{Z})$ , see [SI]. A singular K3 surface  $S$  corresponds with the binary quadratic form given by the intersection product on the oriented lattice  $T_S = \mathrm{NS}(S)^\perp$  of transcendental cycles on  $S$ . Here the orthogonal complement is taken in the unimodular lattice  $H^2(S, \mathbb{Z})$  of signature  $(3, 19)$  (see [BPV], Prop. VIII.3.2). To find out which quadratic form the surface  $\bar{Y}$  corresponds to, we will use discriminant forms as defined by Nikulin [Ni], § 1.3.

**Definition 5.1** *Let  $A$  be a finite abelian group. A finite symmetric bilinear form on  $A$  is a symmetric bilinear map  $b: A \times A \rightarrow \mathbb{Q}/\mathbb{Z}$ .*

*A finite quadratic form on  $A$  is a map  $q: A \rightarrow \mathbb{Q}/2\mathbb{Z}$ , such that for all  $n \in \mathbb{Z}$  and  $a \in A$  we have  $q(na) = n^2q(a)$  and such that the unique map  $b: A \times A \rightarrow \mathbb{Q}/\mathbb{Z}$  determined by  $q(a + a') - q(a) - q(a') \equiv 2b(a, a') \pmod{2\mathbb{Z}}$  for all  $a, a' \in A$  is a finite symmetric bilinear form on  $A$ . The form  $b$  is called the bilinear form of  $q$ .*

**Definition 5.2** *Let  $L$  be an integral lattice. We define the dual lattice  $L^*$  by*

$$\{x \in L_{\mathbb{Q}} \mid \langle x, y \rangle \in \mathbb{Z} \text{ for all } y \in L\}.$$

**Lemma 5.3** *Let  $L$  be an integral lattice. Then  $|\mathrm{disc} L| = [L^* : L]$ .*

**Proof.** There is an isomorphism  $L^* \cong \mathrm{Hom}(L, \mathbb{Z})$ . If  $x$  is a basis for  $L$ , then the standard dual basis  $x'$  of  $\mathrm{Hom}(L_{\mathbb{Q}}, \mathbb{Q})$  generates  $\mathrm{Hom}(L, \mathbb{Z})$  as a  $\mathbb{Z}$ -module. Hence, for the Gram matrices  $I_x$  and  $I_{x'}$  we find  $I_{x'} = I_x^{-1}$ . Thus,  $\mathrm{disc} L^* = 1/(\mathrm{disc} L)$ . By Lemma 2.4 we have  $\mathrm{disc} L = [L^* : L]^2 \mathrm{disc} L^*$ , from which the equality follows.  $\square$

**Lemma 5.4** *Let  $L$  be an even lattice and set  $A_L = L^*/L$ . Then we have  $\#A_L = |\mathrm{disc} L|$  and the map*

$$q_L: A_L \rightarrow \mathbb{Q}/2\mathbb{Z}: x \mapsto \langle x, x \rangle + 2\mathbb{Z}$$

*is a finite quadratic form on  $A_L$ .*

**Proof.** The first statement is a reformulation of Lemma 5.3. The map  $q_L$  is well defined, as for  $x \in L^*$  and  $\lambda \in L$ , we have  $\langle x + \lambda, x + \lambda \rangle - \langle x, x \rangle = 2\langle x, \lambda \rangle + \langle \lambda, \lambda \rangle \in 2\mathbb{Z}$ . The unique map  $b: A_L \times A_L \rightarrow \mathbb{Q}/\mathbb{Z}$  as in Definition 5.2 is given by  $(a, a') \mapsto \langle a, a' \rangle + \mathbb{Z}$ , which is clearly a finite symmetric bilinear form. Thus,  $q_L$  is a finite quadratic form.  $\square$

**Definition 5.5** *If  $L$  is an even lattice, then the map  $q_L$  as in Lemma 5.4 is called the discriminant-quadratic form associated to  $L$ .*

**Lemma 5.6** *Let  $L$  be a primitive sublattice of an even unimodular lattice  $\Lambda$ . Let  $L^\perp$  denote the orthogonal complement of  $L$  in  $\Lambda$ . Then  $q_L \cong -q_{L^\perp}$ , i.e., there is an isomorphism  $A_L \rightarrow A_{L^\perp}$  making the following diagram commutative.*

$$\begin{array}{ccc} A_L & \xrightarrow{\cong} & A_{L^\perp} \\ q_L \downarrow & & \downarrow q_{L^\perp} \\ \mathbb{Q}/2\mathbb{Z} & \xrightarrow{[-1]} & \mathbb{Q}/2\mathbb{Z} \end{array}$$

**Proof.** See [Ni], Prop. 1.6.1.  $\square$

**Lemma 5.7** *The embedding  $\text{NS}(\bar{Y}) \rightarrow H^2(\bar{Y}, \mathbb{Z})$  makes  $\text{NS}(\bar{Y})$  into a primitive sublattice of the even unimodular lattice  $H^2(\bar{Y}, \mathbb{Z})$ . We have  $\text{disc } T_{\bar{Y}} = 48$ .*

**Proof.** For the fact that  $H^2(\bar{Y}, \mathbb{Z})$  is even and unimodular see [BPV], Prop. VIII.3.2. The image of the Néron-Severi group in  $H^2(\bar{Y}, \mathbb{Z})$  is equal to  $H^{1,1}(\bar{Y}) \cap H^2(\bar{Y}, \mathbb{Z})$ , where the intersection is taken in  $H^2(\bar{Y}, \mathbb{C})$ , see [BPV], p. 120. Hence,  $\text{NS}(\bar{Y})$  is a primitive sublattice. From Lemma 5.4 and 5.6 we find

$$|\text{disc } T_{\bar{Y}}| = |A_{T_{\bar{Y}}}| = |A_{\text{NS}(\bar{Y})}| = |\text{disc } \text{NS}(\bar{Y})| = 48.$$

As  $T_{\bar{Y}}$  is positive definite, we get  $\text{disc } T_{\bar{Y}} = 48$ .  $\square$

Up to the action of  $\text{SL}_2(\mathbb{Z})$ , there are only four 2-dimensional positive definite even lattices with discriminant 48. The transcendental lattice  $T_{\bar{Y}}$  is equivalent to one of them. They are given by the Gram matrices

$$\begin{pmatrix} 2 & 0 \\ 0 & 24 \end{pmatrix}, \quad \begin{pmatrix} 4 & 0 \\ 0 & 12 \end{pmatrix}, \quad \begin{pmatrix} 8 & 4 \\ 4 & 8 \end{pmatrix}, \quad \begin{pmatrix} 6 & 0 \\ 0 & 8 \end{pmatrix}. \quad (9)$$

**Proposition 5.8** *Under the correspondence of Shioda and Inose, the singular K3 surface  $\bar{Y}$  corresponds to the matrix*

$$\begin{pmatrix} 2 & 0 \\ 0 & 24 \end{pmatrix}.$$

**Proof.** As  $E_8(-1)$  and  $U$  as in Proposition 4.5 are unimodular, it follows from Proposition 4.5 and Lemma 5.4 that the discriminant-quadratic form of  $\text{NS}(\overline{Y})$  is isomorphic to that of  $\mathbb{Z}(-2) \oplus \mathbb{Z}(-24)$ . By Lemma 5.6 and 5.7 we find that the discriminant-quadratic form associated to  $T_{\overline{Y}}$  is isomorphic to that of  $\mathbb{Z}(2) \oplus \mathbb{Z}(24)$ , whence it takes on the value  $\frac{1}{24} + 2\mathbb{Z}$ . Of the four lattices described in (9), the lattice  $\mathbb{Z}(2) \oplus \mathbb{Z}(24)$  is the only one for which that is true.  $\square$

**Corollary 5.9** *The surface  $\overline{Y}$  is not a Kummer surface.*

**Proof.** By [In], Thm. 0, a singular K3 surface  $S$  is a Kummer surface if and only if its corresponding positive definite even integral binary quadratic form is twice another such form, i.e., if  $x^2 \equiv 0 \pmod{4}$  for all  $x \in T_S$ . This is not true in our case.  $\square$

## 6. All curves on $X$ of low degree

Note that so far we have seen 63 rational curves of degree 2 on  $\overline{X}$ , namely those in the orbits under  $G$  of

$$\begin{aligned} D_{10}: & \quad x = a, \quad b = -c, \\ D_{16}: & \quad x = 2a, \quad 2(b - c) = \sqrt{3}(z - y), \\ D_{17}: & \quad x = 0, \quad b = 0. \end{aligned} \tag{10}$$

These orbits have sizes 18, 36, and 9 respectively. All of these curves correspond to infinitely many matrices that are either trivial or not defined over  $\mathbb{Q}$ . To find more rational curves of low degree, we look at fibrations of  $\overline{Y}$  other than  $f$ . The conic ( $\mathcal{O}$ ) given by  $a + b = c - y = 0$  on  $X$  determines a plane in the four-space in  $\mathbb{P}^5$  given by  $x + y + z = 0$ . The family of hyperplanes in this four-space that contain that plane, cut out another family of elliptic curves on  $Y$ . One singular fiber in this family is contained in the hyperplane section  $a + b = 2(c - y)$  on  $X$ . It is the degree 4 curve corresponding to the parametrization in (2). We will now see that this is the lowest degree of a parametrization of nontrivial matrices defined over  $\mathbb{Q}$ .

Recall that  $G \subset \text{Aut } X$  is the group of automorphisms of  $X$  generated by permutations of  $x, y$  and  $z$ , by permutations of  $a, b$ , and  $c$  and by switching the sign of two of the coordinates  $a, b$ , and  $c$ .

**Proposition 6.1** *The union of the three orbits under the action of  $G$  of the curves described in (10) consists of all 63 curves on  $\overline{X}$  of degree smaller than 4.*

Arguments similar to the ones used to prove Proposition 6.1 can be found in [Br], p. 302. To prove this final Proposition 6.1 we will use the following lemma.

**Lemma 6.2** *Let  $S$  be a minimal, nonsingular, algebraic K3 surface over  $\mathbb{C}$ . Suppose  $D$  is a divisor on  $S$  with  $D^2 = -2$ .*

- (a) If  $D \cdot H$  is positive for some ample divisor  $H$  on  $S$ , then  $D$  is linearly equivalent with an effective divisor.
- (b) If  $D$  is effective and its corresponding closed subscheme is reduced and simply connected, then the complete linear system  $|D|$  has dimension 0.

**Proof.** Since the canonical sheaf on  $S$  is trivial and the Euler characteristic  $\chi$  of  $\mathcal{O}_S$  equals 2, the Riemann-Roch Theorem for surfaces (see [Ha], Thm V.1.6) tells us that

$$l(D) - s(D) + l(-D) = \frac{1}{2}D^2 + \chi = 1,$$

where  $l(D) = \dim H^0(S, \mathcal{L}(D)) = \dim |D| + 1$  and  $s(D) = \dim H^1(S, \mathcal{L}(D))$  is the superabundance. For (a) it is enough to prove  $l(D) \geq 1$ . Because  $s(D)$  is nonnegative, it suffices to show  $l(-D) = 0$ . As we have  $(-D) \cdot H < 0$ , this follows from the fact that effective divisors have nonnegative intersection with ample divisors. For (b),  $D$  is effective, so we also find  $l(-D) = 0$ . In order to prove  $l(D) = 1$ , it suffices to show that  $s(D) = 0$  or by symmetry, that  $s(-D) = 0$ . Now  $\mathcal{L}(-D)$  is equal to the ideal sheaf  $\mathcal{I}_Z$  of the closed subscheme  $Z$  corresponding to  $D$  and  $H^1(S, \mathcal{L}(-D)) = H^1(S, \mathcal{I}_Z)$  fits in the exact sequence

$$H^0(Z, \mathcal{O}_Z) \rightarrow H^0(S, \mathcal{O}_S) \rightarrow H^1(S, \mathcal{I}_Z) \rightarrow H^1(Z, \mathcal{O}_Z).$$

As  $S$  and  $Z$  are projective and connected, the first map is an isomorphism of one-dimensional vector spaces. Hence the map  $H^1(S, \mathcal{I}_Z) \rightarrow H^1(Z, \mathcal{O}_Z)$  is injective. By the Hodge decomposition we know that  $H^1(Z, \mathcal{O}_Z)$  is a direct summand of  $H^1(Z, \mathbb{C})$ . Hence it is trivial, as  $Z$  is simply connected. Therefore, also  $H^1(S, \mathcal{I}_Z)$  is trivial and  $s(-D) = 0$ .  $\square$

**Proof of Proposition 6.1.** Let  $C$  be a curve on  $\overline{X}$  of degree  $d$  and arithmetic genus  $g_a$  and let  $C$  also denote its strict transform on  $\overline{Y}$ . Let its coordinates with respect to the basis  $\{D_1, \dots, D_{20}\}$  of  $\text{NS}(\overline{Y})$  be given by  $m_1, \dots, m_{20}$ . Let  $H$  denote a hyperplane section. If  $E$  is any of the 12 exceptional curves on  $\overline{Y}$ , then we have  $H \cdot E = 0$ . For any curve  $D$  on  $\overline{X}$  we have  $H \cdot D = \deg D$ . This determines  $H \cdot D_i$  for all  $i = 1, \dots, 20$  (see Remark 4.4), and we find

$$d = C \cdot H = 2(m_1 + m_3 + m_5 + m_7 + m_{10} + m_{12} + m_{14} + m_{15} + m_{16} + m_{17} + m_{18} + m_{19} + 2m_{20}). \quad (11)$$

This implies that  $d$  is even, say  $d = 2k$ . Since we have  $H^2 = 6$ , we can write the divisor class  $[C] \in \text{NS}(\overline{Y})$  as  $[C] = \frac{d}{6}H + D = \frac{k}{3}H + D$  for some element  $D \in \frac{1}{6}\langle H \rangle^\perp$ , where the orthogonal complement is taken inside  $\text{NS}(\overline{Y})$ . From the adjunction formula (see [Ha], Prop. V.1.5) we find  $C^2 = 2g_a - 2$ , so from  $C^2 = D^2 + (\frac{kH}{3})^2$  we get  $D^2 = 2g_a - 2 - \frac{2k^2}{3}$ . By the Hodge Index Theorem ([Ha], Thm. V.1.9) the lattice  $\frac{1}{e}\langle H \rangle^\perp$  is negative definite for any  $e > 0$ , so for fixed  $k$  and  $g_a$  there are only finitely many elements  $D \in \frac{1}{6}\langle H \rangle^\perp$  with  $D^2 = 2g_a - 2 - \frac{2k^2}{3}$ .

We will now make this more concrete. Set

$$\begin{aligned}
v_1 &= 2m_2 + m_5 + m_7 + m_{10} + m_{12} + m_{14} + m_{15} + m_{16} + m_{17} + m_{18} + 2m_{20} - k, \\
v_2 &= 4m_3 - m_4 + 2m_5 + 2m_7 + 2m_{10} + 2m_{12} + 2m_{14} + 2m_{15} + 2m_{16} + m_{17} + \\
&\quad + 2m_{18} + 2m_{19} + 3m_{20} - 2k, \\
v_3 &= 7m_4 - 2m_5 + 2m_7 + 2m_{10} + 2m_{12} + 2m_{14} + 2m_{15} + 2m_{16} + m_{17} + 2m_{18} + \\
&\quad + 2m_{19} + 3m_{20} - 2k, \\
v_4 &= 33m_5 - 14m_6 + 9m_7 - 14m_8 + 9m_{10} + 9m_{12} + 9m_{14} + 9m_{15} + 9m_{16} + 15m_{17} + \\
&\quad + 9m_{18} + 16m_{19} + 24m_{20} - 9k, \\
v_5 &= 52m_6 - 24m_7 - 14m_8 + 9m_{10} + 9m_{12} + 9m_{14} + 9m_{15} + 9m_{16} + 15m_{17} + 9m_{18} + \\
&\quad + 16m_{19} + 24m_{20} - 9k, \\
v_6 &= 24m_7 + m_8 + 4m_{10} + 4m_{12} + 4m_{14} + 4m_{15} + 4m_{16} + 11m_{17} - 9m_{18} - 3m_{19} + \\
&\quad + 2m_{20} - 4k, \\
v_7 &= 35m_8 + 8m_{10} + 8m_{12} + 8m_{14} + 8m_{15} + 8m_{16} + 13m_{17} + 9m_{18} + 15m_{19} + \\
&\quad + 22m_{20} - 8k, \\
v_8 &= 2m_9 - m_{10}, \\
v_9 &= 211m_{10} - 140m_{11} + m_{12} + m_{14} + m_{15} + m_{16} + 41m_{17} + 23m_{18} + 50m_{19} + \\
&\quad + 64m_{20} - k, \\
v_{10} &= 282m_{11} - 210m_{12} + m_{14} + m_{15} + m_{16} + 41m_{17} + 23m_{18} + 50m_{19} + 64m_{20} - k, \\
v_{11} &= 119m_{12} - 94m_{13} + m_{14} + m_{15} + m_{16} - 53m_{17} + 23m_{18} + 50m_{19} - 30m_{20} - k, \\
v_{12} &= 144m_{13} - 118m_{14} + m_{15} - 118m_{16} - 53m_{17} + 23m_{18} - 69m_{19} - 30m_{20} - k, \\
v_{13} &= 86m_{14} - 71m_{15} - 58m_{16} - 5m_{17} + 23m_{18} - 9m_{19} + 18m_{20} - k, \\
v_{14} &= 1231m_{15} - 672m_{16} + 249m_{17} - 595m_{18} + 259m_{19} - 346m_{20} - 19k, \\
v_{15} &= 364m_{16} + 19m_{17} + 271m_{18} - 89m_{19} + 290m_{20} - 41k, \\
v_{16} &= 529m_{17} + 361m_{18} + 185m_{19} + 162m_{20} - 107k, \\
v_{17} &= 62m_{18} + m_{19} - 22m_{20} + 8k, \\
v_{18} &= 30m_{19} - 9m_{20} - 8k, \\
v_{19} &= 3m_{20} - 4k.
\end{aligned}$$

After using (11) to express  $m_1$  in terms of  $m_2, \dots, m_{20}$ , and  $k$ , we can rewrite the equation  $C^2 = 2g_a - 2$  as

$$\begin{aligned}
112(3 - 3g_a + k^2) &= 84v_1^2 + 42v_2^2 + 6v_3^2 + \frac{4v_4^2}{11} + \frac{14v_5^2}{143} + \frac{7v_6^2}{13} + \\
&\quad + \frac{v_7^2}{5} + 84v_8^2 + \frac{6v_9^2}{1055} + \frac{28v_{10}^2}{9917} + \frac{12v_{11}^2}{799} + \frac{v_{12}^2}{102} + \frac{7v_{13}^2}{258} + \\
&\quad + \frac{7v_{14}^2}{52933} + \frac{6v_{15}^2}{16003} + \frac{6v_{16}^2}{6877} + \frac{336v_{17}^2}{16399} + \frac{28v_{18}^2}{155} + \frac{28v_{19}^2}{5}.
\end{aligned} \tag{12}$$

Suppose  $k$  and  $g_a$  are fixed. Since the  $m_i$  are all integral, so are the  $v_j$ . As the right-hand side of (12) is a positive definite quadratic form in the  $v_j$ , we find that there are only finitely many integral solutions  $(v_1, \dots, v_{19})$  of (12). The  $m_i$  being linear combinations of the  $v_j$ , there are also only finitely many integral solutions in terms of the  $m_i$ . In our case the even degree  $d$  is smaller than 4, so  $d = 2$  and  $k = 1$ . As all curves have even degree, the conic  $C$  is irreducible and hence, as all irreducible conics are, smooth. Therefore we have  $g_a = 0$ . A

computer search shows that for  $k = 1$  and  $g_a = 0$  there are exactly 441 solutions of (12) corresponding to integral  $m_i$ .

By Lemma 6.2(a) these correspond to 441 effective divisor classes  $[D]$  on  $\bar{Y}$  with  $D^2 = -2$  and  $H \cdot D = 2$ . We will exhibit 441 of such divisors satisfying the hypotheses of Lemma 6.2(b). That lemma then implies that each is the only effective divisor in its equivalence class and we conclude that they are the only 441 effective divisors  $D$  on  $\bar{Y}$  satisfying  $D^2 = -2$  and  $D \cdot H = 2$ .

The first 9 of these 441 divisors correspond to the curves in the orbit of  $D_{17}$ . Another 16 correspond to  $D_{10} + \varepsilon_1 E_1 + \varepsilon_2 E_2 + \varepsilon_3 E_3 + \varepsilon_4 E_4$  where  $\varepsilon_i \in \{0, 1\}$  and the  $E_i$  are the four exceptional curves of  $\pi$  that meet  $D_{10}$ . Each of these 16 divisors generates an orbit under  $G$  of size 18, giving 288 divisors on  $\bar{Y}$  altogether. The last 144 divisors correspond to the divisors in the size 36 orbits of  $D_{16} + \delta_1 M_1 + \delta_2 M_2$ , with  $\delta_i \in \{0, 1\}$  and where  $M_1$  and  $M_2$  are the exceptional curves of  $\pi$  in the fiber above  $t = 2$ . Of these 441 effective divisors, only 63 are the strict transform of a curve on  $\bar{X}$ , all in an orbit of one of the curves described in (10).  $\square$

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