

**Exercises of lecture 1: General Introduction**

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## Exercise 1: Localization

Let  $\mathbb{Z}\text{-Mod}_0$  be the full subcategory of  $\mathbb{Z}\text{-Mod}$  consisting of

$$\{M \in \mathbb{Z}\text{-Mod} \mid M \otimes_{\mathbb{Z}} \mathbb{Q} = (0)\}$$

or equivalently, the full subcategory consisting of inductive limits of finite  $\mathbb{Z}$ -modules (or the  $\mathbb{Z}$ -modules in which every element has finite order). Set

$$S = \{s : M \rightarrow N \mid \text{Ker}(s), \text{Coker}(s) \in \mathbb{Z}\text{-Mod}_0\}$$

I claim that the localization with respect to  $S$  is equivalent to the category of  $\mathbb{Q}$ -vector spaces. Recall that  $\mathbb{Q}$  is flat over  $\mathbb{Z}$ , hence  $S = \{s : M \rightarrow N \mid s \otimes_{\mathbb{Z}} \mathbb{Q} \text{ is isomorphism}\}$ . From this, one can deduce that  $S$  satisfies all the good properties (my reference is the chapter by Grivel in [2]), hence there exists a localization  $Q : \mathbb{Z}\text{-Mod} \rightarrow \mathcal{C}$ ;  $\mathcal{C}$  is additive and  $Q$  is an additive functor.

Consider the additive functor  $- \otimes_{\mathbb{Z}} \mathbb{Q} : \mathbb{Z}\text{-Mod} \rightarrow \mathbb{Q}\text{-Vec}$ . By the universal property of localization, we get a canonical additive functor  $L : \mathcal{C} \rightarrow \mathbb{Q}\text{-Vec}$ . I claim that  $L$  is essentially surjective and fully faithful. This will imply that  $L$  is the desired equivalence.

*Essential surjectivity:* Let  $V$  be a  $\mathbb{Q}$ -vector space, then  $V \otimes_{\mathbb{Z}} \mathbb{Q} = V$ .

*Fullness:* Let  $\phi : M \otimes_{\mathbb{Z}} \mathbb{Q} \rightarrow N \otimes_{\mathbb{Z}} \mathbb{Q}$  be any  $\mathbb{Q}$ -linear map. We may choose basis  $\{v_i \mid i \in I\}$  for  $M \otimes_{\mathbb{Z}} \mathbb{Q}$  and  $\{w_j \mid j \in J\}$  for  $N \otimes_{\mathbb{Z}} \mathbb{Q}$ , such that  $v_i \in M \otimes 1$  and  $w_j \in N \otimes 1$ . Choose  $v'_i$  [resp.  $w'_j$ ] in  $M$  [resp.  $N$ ] such that  $v'_i \otimes 1 = v_i$  [resp.  $w'_j \otimes 1 = w_j$ ]. For each  $i$ , choose  $n_i \in \mathbb{Z}$  such that  $\phi(v_i) = \frac{1}{n_i} \sum_j a_{ij} w_j$ , where  $a_{ij} \in \mathbb{Z}$ .

Consider the diagram:

$$\begin{array}{ccc}
 & \bigoplus_{i \in I} \mathbb{Z}v_i & \\
 v_i \mapsto n_i v'_i \swarrow & & \searrow v_i \mapsto \sum_j a_{ij} w'_j \\
 M & & N
 \end{array}$$

Then  $- \otimes_{\mathbb{Z}} \mathbb{Q}$  gives the map  $v_i \mapsto \frac{1}{n_i} v_i \mapsto \frac{1}{n_i} \sum_j a_{ij} w_j = \phi(v_i)$  from  $M \otimes_{\mathbb{Z}} \mathbb{Q}$  to  $N \otimes_{\mathbb{Z}} \mathbb{Q}$ .

*Faithfulness:* Suppose that the diagram

$$\begin{array}{ccc} & M_1 & \\ s \swarrow & & \searrow \phi \\ M & & N \end{array}$$

satisfies  $(\phi \otimes_{\mathbb{Z}} \mathbb{Q}) \circ (s \otimes_{\mathbb{Z}} \mathbb{Q})^{-1} = 0$ . Again, by flatness of  $\mathbb{Q}$  over  $\mathbb{Z}$ , this implies that  $\text{Ker}(\phi) \otimes_{\mathbb{Z}} \mathbb{Q} = M_1 \otimes_{\mathbb{Z}} \mathbb{Q}$ . Consider the diagram:

$$\begin{array}{ccccc} & & M_1 & & \\ & s \swarrow & & \searrow \phi & \\ M & & \text{Ker}(\phi) & \xrightarrow{0} & N \\ & \swarrow \psi & \uparrow & & \\ & M & & & \\ & \downarrow \psi & & \nearrow 0 & \\ & M & & & \end{array}$$

The morphism  $\text{Ker}(\phi) \rightarrow M_1$  lies in  $S$ , thus so is  $\psi : \text{Ker}(\phi) \rightarrow M$ . This shows that  $(s, \phi)$  is equivalent to 0.

## Exercise 2: Serre duality

### Preliminaries on sheaves

We will make use of the following results:

**Proposition 2.1** ([4] 3.3). *Let  $X$  be a noetherian scheme, then any quasi-coherent sheaf  $F$  admits a resolution by quasi-coherent sheaves which are injective as  $\mathcal{O}_X$ -modules.*

More precisely, we have:

**Proposition 2.2** ([4] 3.4, 3.5). *Let  $X$  be a noetherian scheme, then*

- $D^*(\mathbf{Qcoh}(X)) \rightarrow D^*_{\mathbf{Qcoh}(X)}(\mathcal{O}_X - \mathbf{Mod})$  is an equivalence which preserves distinguished triangles, where  $*$  =  $b, +$ , and  $D^*_{\mathbf{Qcoh}(X)}(\mathcal{O}_X - \mathbf{Mod})$  is the full triangulated subcategory of  $D^*(\mathcal{O}_X - \mathbf{Mod})$  of objects with quasi-coherent homologies.
- $D^b(X) \rightarrow D^b_{\mathbf{Coh}}(\mathbf{Qcoh}(X))$  is an equivalence which preserves distinguished triangles, where  $D^b_{\mathbf{Coh}}(\mathbf{Qcoh}(X))$  is the full triangulated subcategory of  $D^b(\mathbf{Qcoh}(X))$  of objects with coherent homologies.

On the other hand, we also have locally free resolutions of coherent sheaves:

**Proposition 2.3.** *Let  $X$  be a regular quasi-projective scheme over a field. For any coherent sheaf  $F$  on  $X$ , there exists a finite resolution  $0 \leftarrow F \leftarrow E^\bullet$  by locally free  $\mathcal{O}_X$ -modules of finite rank.*

*Proof.* Given a coherent sheaf  $F$  on  $X$ . For  $n \gg 0$ ,  $F \otimes \mathcal{O}_X(n)$  will be generated by global sections, hence there exists  $m$  and an epimorphism

$$0 \leftarrow F \otimes \mathcal{O}_X(n) \leftarrow \mathcal{O}_X^{\oplus m}$$

Twisting back gives  $0 \leftarrow F \leftarrow \mathcal{O}_X(-n)^{\oplus m}$ . The finiteness of such resolutions follows from the regularity of  $X$  and Serre's theorem on global homological dimension.  $\square$

**Remark 2.4.** From this, one can deduce that for any bounded complex  $E^\bullet$  of coherent sheaves, there exists a bounded complex  $F^\bullet$  of locally free  $\mathcal{O}_X$ -modules and a quasi-isomorphism  $F^\bullet \rightarrow E^\bullet$  in  $\text{Kom}(\mathbf{Coh}(X))$ .

**Remark 2.5.** Suppose  $X$  is projective. One can actually resolve a coherent sheaf  $E$  by *negative* enough locally free  $\mathcal{O}_X$ -modules of finite rank. This means: for any coherent sheaf  $M$ , there exists a finite resolution by locally free  $\mathcal{O}_X$ -modules of finite rank:

$$0 \leftarrow E \leftarrow F_0 \leftarrow \cdots \leftarrow F_n \leftarrow 0$$

such that  $\text{Ext}^p(F_q, M) = 0$  for all  $p > 1$  and  $0 \leq q \leq n$ .

We can assume that  $n \geq 2 \dim X$ . For  $q < n$  the *negativeness* follows from the proof and the cohomological criterion of ampleness. As to  $q = n$ , note that  $\text{Ext}^p(F_n, M) = \text{Ext}^{p+n}(E, M)$  if  $F_1, \dots, F_{n-1}$  are *negative enough* for  $M$ . However, we have a Leray's spectral sequence

$$E_2^{i,j} = H^i(X, \mathcal{E}xt^j(E, M)) \Rightarrow \text{Ext}^{i+j}(E, M)$$

For  $i + j = p + n > 2 \dim X$ , either  $i > n$  or  $j > n$ , which implies that either  $H^i(X, -) = 0$  or  $\mathcal{E}xt^j(E, -) = 0$ , hence  $E^{p+n}(E, M) = 0$ .

**Proposition 2.6.** *With the conditions of 2.5,  $\text{Hom}_{D^b(X)}(-, -)$  will be finite-dimensional  $k$ -vector spaces.*

## Generalities on $\mathrm{RHom}$ and $\overset{\mathrm{L}}{\otimes}$

These materials can also be found in [4] Chapter 3. In particular, I will follow his sign convention for tensor products of complexes, which is different from that in [2].

Assume from now on that  $X$  is a smooth projective variety over a field. Assume for simplicity that our complexes are complexes over  $\mathbf{Coh}(X)$ . Note that  $D^-(X)$  admits finite locally free (hence flat) resolutions. There is almost no injective objects in  $D^b(X)$ , however one can embed  $D^b(X)$  in  $D_{\mathbf{Coh}}^b(\mathbf{Qcoh}(X))$ ; this justifies all the upcoming discussions on  $-\overset{\mathrm{L}}{\otimes}-$  and  $\mathrm{RHom}(-, -)$  for the bounded derived category of coherent sheaves.

**Definition 2.7** (Hom complex). Let  $A^\bullet, B^\bullet$  be two complexes, define a complex

$$\mathrm{Hom}^n(A^\bullet, B^\bullet) := \prod_{p \in \mathbb{Z}} \mathrm{Hom}(A^p, B^{p+n})$$

with differentials  $d^n : \mathrm{Hom}^n(A, B) \rightarrow \mathrm{Hom}^{n+1}(A, B)$  defined by

$$d^n \phi = d_B \circ \phi - (-1)^n \phi \circ d_A$$

Here are some properties of  $\mathrm{Hom}^\bullet$  and its derived functor(s)  $\mathrm{RHom}(-, -)$ :

- $\mathrm{Hom}^\bullet(-, -)$  preserves mapping cones in each variable ([2] 11.3). When the second variable is fixed i.e.  $\mathrm{Hom}^\bullet(-, B)$ , one must employ a dual version of mapping cone.
- If either  $A^\bullet$  or  $B^\bullet$  is acyclic,  $B^\bullet$  consists of injective objects, then  $\mathrm{Hom}^\bullet(A^\bullet, B^\bullet)$  is acyclic ([2] 10.5).
- If our category has enough injectives as well as projectives, then the derived functor  $\mathrm{RHom}(-, -)$  can be computed by taking injective resolution in the second variable, or by taking projective in the first variable; the resulting functors are isomorphic ([2] 10.8).
- We have a functorial isomorphism for all  $n \in \mathbb{Z}$ :

$$\mathrm{Ext}^n(A^\bullet, B^\bullet) := \mathrm{Hom}_{D(X)}(A^\bullet, B^\bullet[n]) = H^n \mathrm{RHom}(A^\bullet, B^\bullet)$$

**Definition 2.8** (tensor product of complexes). Let  $A^\bullet, B^\bullet \in \mathrm{Kom}(\mathcal{O}_X - \mathbf{Mod})$ , define a complex

$$(A^\bullet \otimes B^\bullet)^n := \bigoplus_{i+j=n} A^i \otimes B^j$$

with differentials  $d^n = (d^{i,j})_{i+j=n} : (A \otimes B)^n \rightarrow (A \otimes B)^{n+1}$ ,  $d^{i,j} : A^i \otimes B^j \rightarrow (A^{i+1} \otimes B^j) \oplus (A^i \otimes B^{j+1})$  being defined by

$$d^{i,j}(a \otimes b) = d_{A^i}a \otimes b + (-1)^i a \otimes d_{B^j}b$$

**Remark 2.9.** There is an isomorphism  $\tau : A \otimes B \xrightarrow{\sim} B \otimes A$  by defining

$$\tau : a \otimes b \mapsto (-1)^{ij} b \otimes a \text{ on } A^i \otimes B^j$$

For more generalities on  $- \otimes -$ , see [2] 11.3.

**Proposition 2.10.** *Let  $A^\bullet, B^\bullet, C^\bullet$  be three complexes*

- *If  $\phi : B^\bullet \rightarrow C^\bullet$  is a chain map, then the canonical map*

$$\phi_* : \text{Hom}^\bullet(A^\bullet, B^\bullet) \rightarrow \text{Hom}^\bullet(A^\bullet, C^\bullet)$$

*is a chain map.*

- *The canonical map*

$$\text{Hom}^\bullet(A^\bullet, B^\bullet) \rightarrow \text{Hom}^\bullet(A^\bullet \otimes C^\bullet, B^\bullet \otimes C^\bullet)$$

*given by  $\phi \mapsto \phi \otimes \text{id}_C$  is a chain map.*

*Proof.* The first assertion is obvious, and the second one is a straightforward verification: Consider  $(f^p)_{p \in \mathbb{Z}} \in \text{Hom}^n(A, B)$ , where  $f^p \in \text{Hom}(A^p, B^{p+n})$ . Its image is  $(g^p)_{p \in \mathbb{Z}}$ , where

$$g^p : \bigoplus_{i+j=p} A^i \otimes C^j \rightarrow \bigoplus_{i+j=p} B^{i+n} \otimes C^j, \quad g^p = \bigoplus_{i+j=p} (f^i \otimes \text{id}_{C^j})$$

Consider  $d(g^\bullet)$ , its restriction on  $A^i \otimes C^j$  ( $i + j = p$ ) is

$$\begin{aligned} & d_{B \otimes C} \circ (f^i \otimes \text{id}_{C^j}) - (-1)^n g^p \circ (d_{A^i} \otimes \text{id}_{C^j} + (-1)^i \text{id}_{A^i} \otimes d_{C^j}) \\ &= d_{B^{i+n}} f^i \otimes \text{id}_{C^j} + (-1)^{i+n} f^i \otimes d_{C^j} - (-1)^n (f^{i+1} d_{A^i} \otimes \text{id}_{C^j} + (-1)^i f^i \otimes d_{C^j}) \\ &= d_{B^{i+n}} f^i \otimes \text{id}_{C^j} - (-1)^n f^{i+1} d_{A^i} \otimes \text{id}_{C^j} \end{aligned}$$

On the other hand, the restriction of  $d(f^\bullet)$  on  $A^p$  is  $d_{B^{p+n}} f^p - (-1)^n f^{p+1} d_{A^p}$ , for all  $p \in \mathbb{Z}$ . Hence the restriction on  $A^i \otimes C^j$  of its image under  $- \otimes C$  is

$$(d_{B^{i+n}} f^i - (-1)^n f^{i+1} d_{A^i}) \otimes \text{id}_{C^j} = d_{B^{i+n}} f^i \otimes \text{id}_{C^j} - (-1)^n f^{i+1} d_{A^i} \otimes \text{id}_{C^j}$$

□

Here are some properties of  $- \otimes -$  and its derived functor  $- \overset{\mathbf{L}}{\otimes} -$ :

- $- \otimes -$  preserves mapping cones in each variable ([2] 11.3).
- If either  $A^\bullet$  or  $B^\bullet$  is acyclic, and one of them consists of flat objects, then  $A^\bullet \otimes B^\bullet$  is acyclic ([2] 11.5).
- If our category has enough flat objects, then  $- \overset{\mathbf{L}}{\otimes} -$  can be computed by taking flat resolutions in either variable ([2] 11.6).

From now on, I will omit the bullet sign of a complex if there is no confusion.

**Definition 2.11** (The dual of a complex). For any complex  $E$ , we can form the dual complex

$$E^\vee := \text{Hom}^\bullet(E, \mathcal{O}_X)$$

Its components are given by  $E^{\vee-n} = \text{Hom}^{-n}(E, \mathcal{O}_X) = \text{Hom}(E^n, \mathcal{O}_X)$ . By applying the rules above, the differential is given by

$$\lambda \in E^{\vee-n} \mapsto (-1)^{n+1} \lambda \circ d_{E^{n-1}} \in E^{\vee-n+1}.$$

Also note that, if  $E \rightarrow F$  is a chain map, so is the induced map  $F^\vee \rightarrow E^\vee$ .

**Definition-Proposition 2.12** (Trace, and its dual version). For any  $F \in \text{Kom}^b(\text{Coh}(X))$ , we can define chain maps

$$\begin{aligned} \alpha : F^\vee \otimes F &\rightarrow \mathcal{O}_X \\ \lambda \otimes f &\mapsto \begin{cases} \lambda(f) & , \text{ if } \deg \lambda + \deg f = 0 \\ 0 & , \text{ otherwise.} \end{cases} \\ \beta' : \mathcal{O}_X &\rightarrow \text{Hom}^\bullet(F, F) \\ 1 &\mapsto \text{id}_F \\ \gamma : F \otimes F^\vee &\rightarrow \text{Hom}(F, F) \\ f \otimes \lambda &\mapsto \lambda(-)f \in \text{Hom}^{i-j}(F, F) \text{ if } f \in F^i, \lambda \in F^{\vee(-j)} \end{aligned}$$

Moreover, if  $F$  consists of locally free  $\mathcal{O}_X$ -modules of finite rank, then  $\gamma$  is an isomorphism. In this case, define  $\beta := \gamma^{-1} \circ \beta' : \mathcal{O}_X \rightarrow F \otimes F^\vee$ .

*Proof.* For  $\alpha$ : In view of the condition  $\deg \lambda + \deg f = 0$ , it suffices to check that  $\alpha(d(\lambda \otimes f)) = 0$  when  $\lambda \in F^{\vee(-i-1)}$  and  $f \in F^i$ . We have  $d(\lambda \otimes f) = d\lambda \otimes f + (-1)^{i+1} \lambda \otimes df = (-1)^i (\lambda \circ d) \otimes f + (-1)^{i+1} \lambda \otimes df$ , which is mapped to  $(-1)^i \lambda(df) + (-1)^{i+1} \lambda(df) = 0$ .

For  $\beta'$ : It is clearly a chain map, since  $d(\text{id}_F) = d_F - d_F = 0$ .

For  $\gamma$ : For any  $f \in F^i, \lambda \in F^{\vee-j}$ , we have

$$d(f \otimes \lambda) = df \otimes \lambda + (-1)^i f \otimes d\lambda = df \otimes \lambda + (-1)^{i+j+1} f \otimes (\lambda \circ d)$$

which corresponds to  $\lambda(-)df - (-1)^{i+j}\lambda(d(-))f \in \text{Hom}^{i-j+1}(F, F)$ . On the other hand:

$$d(\lambda(-)f) = \lambda(-)df - (-1)^{i+j}\lambda(d(-))f$$

which is just the same thing. Hence  $\gamma$  is a chain map. If  $F$  consists of locally free  $\mathcal{O}_X$ -modules of finite rank, then  $\gamma$  is an isomorphism by basic module theory.  $\square$

**Remark 2.13.** In [4] p.77, he defines  $\text{tr} : \text{Hom}^\bullet(F, F) \rightarrow \mathcal{O}_X$  as  $\text{tr}(\phi) = \sum_i (-1)^i \text{tr} \phi|_{F^i}$  if  $\phi \in \text{Hom}^0(F, F) = \bigoplus_i \text{Hom}(F^i, F^i)$ , and 0 elsewhere. In our formalism, this is just the composition

$$\text{Hom}^\bullet(F, F) \xrightarrow{\gamma^{-1}} F \otimes F^\vee \xrightarrow{\tau} F^\vee \otimes F \xrightarrow{\alpha} \mathcal{O}_X.$$

**Definition-Proposition 2.14.** Consider  $E \mapsto E^\vee := \text{RHom}(E, \mathcal{O}_X)$ , it is a functor from  $D^\pm(X)$  to  $D^\mp(X)$ , and its restriction on  $D^b(X)$  maps into  $D^b(X)$  itself.

Since there is almost no projective objects in  $\mathbf{Coh}(X)$ , the standard way to calculate  $\mathcal{E}^\vee$  is taking an injective resolution  $0 \rightarrow \mathcal{O}_X \rightarrow I$  then take  $\text{Hom}^\bullet(E, I)$ . Alternatively, recall that  $\text{Hom}^\bullet(-, -)$  preserves mapping cones. Note that the mapping cone of this injective resolution is

$$C = [0 \rightarrow \mathcal{O}_X \rightarrow I^0 \rightarrow I^1 \rightarrow \dots]$$

Suppose that the terms of  $E$  are locally free of finite rank and are *negative enough* so that  $\text{Ext}^i(E^j, \mathcal{O}_X) = 0$  ( $i > 0, j \in \mathbb{Z}$ ), then  $\text{Hom}^\bullet(E, C)$  is acyclic by a spectral sequence argument, thus  $\text{Hom}^\bullet(E, I) \rightarrow \text{Hom}^\bullet(E, \mathcal{O}_X) = E^\vee$  is a quasi-isomorphism.

In conclusion: If  $E$  is a bounded complex  $E^\bullet$  of *negative enough* locally free  $\mathcal{O}_X$ -modules of finite rank, then  $E^\vee = E^\vee$  in  $D^b(X)$ . Note that every bounded complex is quasi-isomorphic to such a complex.

Now we are ready to enounce the main result of this subsection:

**Proposition 2.15** (cf. [3]). *With the definitions above, we have a functorial isomorphism*

$$\text{RHom}(E, G \overset{\text{L}}{\otimes} F^\vee) \xrightarrow{\sim} \text{RHom}(E \overset{\text{L}}{\otimes} F, G)$$

where  $E, F, G \in D^b(X)$ .

*Proof.* To prove this, assume that  $E$  and  $F$  consist of locally free  $\mathcal{O}_X$ -modules of finite rank,  $F^\bullet$  consists of *negative enough* locally frees, and replace  $G$  by a resolution by quasi-coherent sheaves which are injective as  $\mathcal{O}_X$ -modules.

Using the maps  $\alpha : F^\vee \otimes F \rightarrow \mathcal{O}_X$  and  $\beta : \mathcal{O}_X \rightarrow F \otimes F^\vee$  and the previous propositions, we get two maps from composition:

$$\begin{aligned} \mathrm{Hom}^\bullet(E, G \otimes F^\vee) &\longrightarrow \mathrm{Hom}^\bullet(E \otimes F, G \otimes F^\vee \otimes F) \xrightarrow{\mathrm{id} \otimes \alpha_*} \mathrm{Hom}^\bullet(E \otimes F, G) \\ \mathrm{Hom}^\bullet(E, G \otimes F^\vee) &\xleftarrow{\mathrm{id} \otimes \beta^*} \mathrm{Hom}^\bullet(E \otimes F \otimes F^\vee, G \otimes F^\vee) \longleftarrow \mathrm{Hom}^\bullet(E \otimes F, G) \end{aligned}$$

They are chain maps – Indeed, push forward by  $\mathrm{id} \otimes \alpha$  [resp. pull back by  $\mathrm{id} \otimes \beta$ ] is evidently a chain map, and we have seen that the canonical map  $\mathrm{Hom}^\bullet(-, -) \rightarrow \mathrm{Hom}^\bullet(- \otimes F, - \otimes F)$  [resp.  $F^\vee$ ] is also a chain map.

By writing down their explicit expressions, one sees that the two maps are mutually inverse and functorial in  $E, F, G$ . As  $F$  consists of locally free  $\mathcal{O}_X$ -modules, so is  $F^\vee$ , thus  $G \otimes F^\vee$  and  $G \otimes F^\vee \otimes F$  consist of injective quasi-coherent sheaves<sup>1</sup>. On the other hand, all the tensor products in sight are tensor products with flat objects. Therefore, we can pass from  $\mathrm{Hom}^\bullet$  to  $\mathrm{RHom}$ , from  $\otimes$  to  $\overset{\mathrm{L}}{\otimes}$ , and they yield mutually inverse functorial morphisms on the level of  $D^b(X)$ .  $\square$

**Remark 2.16.** The adjunction relation also yields a trace map  $t : E^\vee \overset{\mathrm{L}}{\otimes} E \rightarrow \mathcal{O}_X$  [resp. its dual version  $\mathcal{O}_X \rightarrow E \overset{\mathrm{L}}{\otimes} E^\vee$ ] in  $D^b(X)$ . They can be constructed directly from their complex version, and they can be calculated by using  $E^\vee \otimes E \rightarrow \mathcal{O}_X$  [resp.  $\mathcal{O}_X \rightarrow E \otimes E^\vee$ ] by taking a locally free resolution  $E^\bullet$  whose terms are *negative enough*.

## The pairing

Now, put  $\omega_X := \Omega_X^n$ , and view it as a complex concentrated at degree 0. There is a natural isomorphism  $H^n(X, \omega_X) \simeq k$ . Composition induces a functorial pairing for  $E, F$  in  $D^b(X)$ :

$$\mathrm{Hom}_{D^b(X)}(E, F) \times \mathrm{Hom}_{D^b(X)}(F, E \overset{\mathrm{L}}{\otimes} \omega_X[n]) \rightarrow \mathrm{Hom}_{D^b(X)}(E, E \overset{\mathrm{L}}{\otimes} \omega_X[n])$$

Recall that  $H^0\mathrm{RHom} = \mathrm{Hom}_{D^b}$ , and use the adjunction relation, we can

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<sup>1</sup>Use the adjointness, or recall that injectivity of  $\mathcal{O}_X$ -modules is a local property (Hint: locally injective  $\Rightarrow$  locally flabby  $\Rightarrow$  flabby, now use the Leray spectral sequence for  $\mathrm{Ext}$ ).

go further:

$$\begin{aligned}
\mathrm{Hom}_{D^b(X)}(E, E \otimes^{\mathbb{L}} \omega_X[n]) &= \mathrm{Hom}_{D^b(X)}(\mathcal{O}_X, E \otimes^{\mathbb{L}} \omega_X[n] \otimes^{\mathbb{L}} E^\vee) \\
&\simeq \mathrm{Hom}_{D^b(X)}(\mathcal{O}_X, E \otimes^{\mathbb{L}} E^\vee \otimes^{\mathbb{L}} \omega_X[n]) \\
&\rightarrow \mathrm{Hom}_{D^b(X)}(\mathcal{O}_X, \omega_X[n]) \xrightarrow{t\otimes 1} k
\end{aligned}$$

This gives a functorial  $k$ -bilinear pairing

$$\mathrm{Hom}_{D^b(X)}(E, F) \times \mathrm{Hom}_{D^b(X)}(F, E \otimes^{\mathbb{L}} \omega_X[n]) \rightarrow k$$

## Relations with Yoneda pairing

In order to do the exercise, we must check that the pairing constructed above coincides with that in Serre duality. Let  $E^\bullet, F^\bullet, G^\bullet$  be objects in  $D^b(X)$ . In order to have a concrete idea of the map  $\mathrm{Hom}_{D^b(X)}(E^\bullet, F^\bullet) \times \mathrm{Hom}_{D^b(X)}(F^\bullet, G^\bullet) \rightarrow \mathrm{Hom}_{D^b(X)}(E^\bullet, G^\bullet)$ , we work in  $D_{\mathrm{Coh}}^b(\mathbf{Qcoh}(X))$  to get resolutions by injective  $\mathcal{O}_X$ -modules  $F^\bullet \rightarrow J^\bullet, G^\bullet \rightarrow K^\bullet$ . Form the Hom complexes  $\mathrm{Hom}^\bullet(E^\bullet, J^\bullet), \mathrm{Hom}^\bullet(J^\bullet, K^\bullet)$ , then the composition in  $D^b(X)$  is just the composition between Hom complexes:

$$\mathrm{Hom}^i(E^\bullet, J^\bullet) \times \mathrm{Hom}^j(J^\bullet, K^\bullet) \rightarrow \mathrm{Hom}^{i+j}(E^\bullet, K^\bullet)$$

Taking homology at  $i = j = 0$  will give the composition law for  $\mathrm{Hom}_{D^b(X)}$ ; in general, taking homology at  $i, j$  gives a pairing  $\mathrm{Ext}^i(E^\bullet, F^\bullet) \times \mathrm{Ext}^j(F^\bullet, G^\bullet) \rightarrow \mathrm{Ext}^{i+j}(E^\bullet, G^\bullet)$ , where  $\mathrm{Ext}^i(-, -) := \mathrm{Hom}_{D^b(X)}(-, -[i])$ .

When  $E^\bullet, F^\bullet, G^\bullet$  are complexes concentrated at degree 0, this is exactly the same construction as the Yoneda pairing who appears in the Grothendieck duality (my reference is [1] IV-1<sup>2</sup>):

$$\mathrm{Ext}^i(E^\bullet, F^\bullet) \times \mathrm{Ext}^j(F^\bullet, G^\bullet) \rightarrow \mathrm{Ext}^{i+j}(E^\bullet, G^\bullet)$$

## Equivalence with Serre duality

Suppose that  $E, F$  are coherent sheaves, considered as complexes concentrated at degree 0 and  $i$ , respectively; according to the preceding remark on Yoneda pairing, what we get is exactly the same pairing in Grothendieck duality (where  $E$  is usually taken to be  $\mathcal{O}_X$ ):

$$\mathrm{Ext}^i(E, F) \times \mathrm{Ext}^{n-i}(F, E \otimes \omega_X) \rightarrow k$$

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<sup>2</sup>In [1], they also take an injective resolution of  $E^\bullet$ , but this seems to be unnecessary.

Now let's set out to do the exercise.

( $\Rightarrow$ ): Suppose that the pairing on level of  $D^b(X)$  gives an isomorphism

$$\mathrm{Hom}_{D^b(X)}(E, F) \xrightarrow{\sim} \mathrm{Hom}_{D^b(X)}(F, E \otimes^L \omega_X[n])^\vee$$

For any  $0 \leq i \leq n$  and any coherent sheaf  $F$  on  $X$ , let  $F$  be the complex with only one term  $F$  concentrated at degree  $i$ , and let  $E$  be the complex with only  $\mathcal{O}_X$  concentrated at degree 0. It follows that

$$\mathrm{Ext}^i(\mathcal{O}_X, F) \xrightarrow{\sim} \mathrm{Ext}^{n-i}(F, \omega_X)^\vee$$

that is,

$$H^i(X, F) \xrightarrow{\sim} \mathrm{Ext}^{n-i}(F, \omega_X)^\vee$$

which is the usual form of Serre duality on smooth projective varieties.

( $\Leftarrow$ ): Conversely, suppose that Serre duality holds. Since  $\mathrm{Ext}^i(E, F) = \mathrm{Ext}^i(\mathcal{O}_X, F \otimes E^\vee)$  if  $E$  is a locally free sheaf of finite rank, it follows that

$$\mathrm{Ext}^i(E, F) \times \mathrm{Ext}^{n-i}(F, E \otimes \omega_X) \rightarrow k$$

is a perfect pairing for any coherent sheaf  $F$  and any locally free  $E$  of finite rank. A routine diagram chasing shows that this is the same homomorphism defined using trace map  $E \otimes E^\vee \rightarrow \mathcal{O}_X$  (express the isomorphisms in terms of push forward via  $E \otimes E^\vee \rightarrow \mathcal{O}_X$  and pull back via  $E \otimes E^\vee \rightarrow \mathcal{O}_X$ ).

To show that

$$\mathrm{Hom}_{D^b(X)}(E, F) \xrightarrow{\sim} \mathrm{Hom}_{D^b(X)}(F, (E \otimes^L \omega_X)[n])^\vee$$

for any  $E, F$  in  $D^b(X)$ . We can assume that  $E$  is a complex of locally free  $\mathcal{O}_X$ -modules of finite rank.

For any  $F$  in  $D^b(X)$ , let  $p(F)$  be the minimal length among bounded complexes quasi-isomorphic to  $F$ . Define  $q(E)$  similarly, but the minimum is taken among bounded complexes with locally free terms. Proceed by double induction on  $(p(F), q(E))$ .

When  $p(F), q(E) \leq 1$ , this is Serre duality. In general, suppose that  $p(F) > 1$ . Take a complex  $F^\bullet$  of length  $p = p(F)$  quasi-isomorphic to  $F$ , and consider the truncation

$$\begin{array}{ccccccc} \cdots & \longrightarrow & F^{p-1} & \longrightarrow & 0 & \longrightarrow & 0 \\ & & \parallel & & \uparrow & & \\ & & \parallel & & 0 & & \\ \cdots & \longrightarrow & F^{p-1} & \longrightarrow & F^p & \longrightarrow & 0 \\ & & \uparrow & & \parallel & & \\ 0 & \uparrow & 0 & & \parallel & & \\ \cdots & \longrightarrow & 0 & \longrightarrow & F^p & \longrightarrow & 0 \end{array}$$

This gives a short exact sequence, hence a distinguished triangle  $F' \rightarrow F \rightarrow F'' \rightarrow F'[1]$  in  $D^b(X)$  with  $p(F'), p(F'') < p(F)$ . Apply the derived Hom functor  $R\mathrm{Hom}$ , and then the long exact sequence + induction hypothesis + 5-lemma yield the desired isomorphism <sup>3</sup>.

The induction step to reduce  $q(E)$  is similar. This completes the proof.

## References

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<sup>3</sup>This amounts to say that the one-term complexes form a *classe génératrice* of  $D^b(X)$  in the sense of [2] §12.